CERTIFICATION UNDER 37 CFR 1.10



I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date <u>January 16, 2001</u> in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>EL610015173US</u> addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

| Pamela Johnst | on |
|---------------|----------|
| (Print Name) | Tohuston |
| (Signature) | |

ASSISTANT COMMISSIONER FOR PATENTS BOX PATENT APPLICATION Washington, D.C. 20231

Hoffmann-La Roche Inc. 340 Kingsland Street Nutley, NJ 07110 Case Docket # 20532 January 16, 2001

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COVMOSTS CH

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the patent application of

Inventor(s): MASAMI KOHCHI, KAZUNAO MASUBUCHI, TAKESHI MURATA

Classic [V] formal [] informal

TAKEHIRO OKADA and NOBUO SHIMMA

For: AEROTHRICIN DERIVATIVES

Enclosed are:

| 1. <u>X</u> | sheet(s) of drawing. [A] formar [] morniar |
|-------------|---|
| 2. <u>X</u> | page(s) of Declaration and Power of Attorney (unsigned) |
| 3 | page(s) of Sequence Listing |
| 4 | computer disk(s) containing Sequence Listing |

5. Statement under 37 CFR § 1.821 or 37 C.F.R. § 1.825

6. X 33 pgs. specification, 3 pgs. claims, 1 pg. abstract and 11 sheets drawings

7. The fee has been calculated as shown below:

| CLAIMS | | | | -, |
|---------------|---------------|---------------|---------|---------------|
| FOR | NO. FILED | NO. EXTRA | RATE | FEE |
| TOTAL CLAIMS | 13 - 20 | 0 | x \$18 | \$ |
| INDEP. CLAIMS | 3 - 3 | 0 | x \$78 | \$ |
| MULTIPLE D | DEPENDENT CLA | IMS PRESENTED | + \$260 | |
| | | BASIC FEE | | \$710.00 |
| | | | TOTAL | \$710.00 |

| A check in the amount of \$ to cover the filing fee is enclosed. The Commissioner is hereby authorized to charge payment of the following fees of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-2525. This sheet is provided in triplicate. X Any filing fees required under 37 C.F.R. § 1.16. X Any patent application processing fees under 37 C.F.R. § 1.17. | 8. <u>X</u> | Please charge my Deposit Account No. 08-2525 in the amount of \$710.00. This sheet is provided in triplicate. | |
|---|--------------|---|--|
| any additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-2525. This sheet is provided in triplicate. X Any filing fees required under 37 C.F.R. § 1.16. | 9 | A check in the amount of \$ to cover the filing fee is enclosed. | |
| | 10. <u>X</u> | any additional fees associated with this communication or credit any overpaymen | |
| X Any patent application processing fees under 37 C.F.R. § 1.17. | | X Any filing fees required under 37 C.F.R. § 1.16. | |
| | | Any patent application processing fees under 37 C.F.R. § 1.17. | |

11. Priority - 35 U.S.C. § 119

FOREIGN PRIORITY

- [X] Foreign Priority of application(s) number <u>00100807.7</u> filed on <u>January 17, 2000</u> in <u>Europe</u> is claimed under 35 U.S.C. § 119(a)-(d) or 35 U.S.C. § 365(a)-(b).
- [] The certified copy(ies) has(have) been filed in prior U.S. patent application Serial No. _____ on _____.
- [X] The certified copy(ies) will follow.
- 13. [X] The power of attorney in the application is to:

George W. Johnston (Reg. No. 28,090), William H. Epstein (Reg. No. 20,008), Dennis P. Tramaloni (Reg. No. 28,542), Patricia S. Rocha-Tramaloni (Reg. No. 31,054).

| • | a. | [X] 7 b. c. | The power appears in the original papers of the application. [] Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed. [] Recognize as associate attorney |
|---|-----|-------------------|--|
| | 14. | [X] | Address all communications to |
| | | | George W. Johnston |

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